

Attorney Docket No. 04094/LH

Express Mail Mailing Label
No.: EV 366 565 624 US

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Date of Deposit: February 27, 2004

Applicant(s): K. SUGIYAMA et al

Serial No. :

Filed : Herewith

For : COUNTERWEIGHT FOR
HYDRAULIC SHOVEL

Art Unit :

Examiner :

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450


Barbara Villani

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450,
Alexandria, VA 22313-1450

S I R :

Submitted herewith are copies of the publications identified on the attached Patent Office form PTO/SB/08A.

The publications are identified on page 2 of the specification.

It is respectfully requested that these publications be considered and made of record.

Respectfully submitted,



Douglas Holtz
Reg. No. 33,902

Frishauf, Holtz, Goodman & Chick, P.C.
767 Third Avenue - 25th Floor
New York, New York 10017-2023
Tel. No. (212) 319-4900
Fax No. (212) 319-5101
LH:bv

Please type a plus sign (+) inside this box →

+

PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | | | | | |
|---|---|----|---|------------------------|--|----------|
| Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number | | |
| | | | | Filing Date | | Herewith |
| | | | | First Named Inventor | | SUGIYAMA |
| | | | | Group Art Unit | | |
| | | | | Examiner Name | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | | 04094/LH |

U.S. PATENT DOCUMENTS

| Exam. Inits ¹ | Cite No ¹ | Document Number | Kind Code ² | Name of Patentee or Applicant | Publication Date MM-DD-YYYY | Relevant Portion |
|-----------------------------|-------------------------|-----------------|---------------------------|----------------------------------|--------------------------------|---------------------|
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Exam Inits ¹ | Cite No ¹ | Offc ³ | Document Number ⁴ | Kind Code ⁵ | Name of Patentee or Applicant | Publication Date MM-DD-YYYY | Relevant Portion | T ⁶ |
|----------------------------|-------------------------|-------------------|--------------------------------|---------------------------|----------------------------------|--------------------------------|---------------------|----------------|
| | | JP | 2001-106479 | A | OKUDA ET AL | 04-17-2001 | | X* |
| | | JP | 2001-279722 | A | KAMIYAMA | 10-10-2001 | | X* |
| | | | *English abstract attached. | | | | | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

DATE MAILED: **February 27, 2004**